PTO/SB/05 (06-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

46,836

Date

August 1, 2003

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UTILITY	Attorney Docket No.	TSM03-0196			
PATENT APPLICATION	First Inventor	Liaw			
TRANSMITTAL	Title	Method And Apparatus for Providing An Integrated Active Region On Silicon-On- Insulator Devices			
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.	EV339467066US			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:	Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450			
Fee Transmittal Form (e.g., PTO/SB/17)     (Submit an original and a duplicate for fee processing)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
2. Applicant claims small entity status. See 37 CFR 1.27.	Nucleotide and/or Amino Acid Sequence Submission     (if applicable, all necessary)				
3. X Specification [Total Pages 21]	a. Computer Readable Form (CRF)				
(preferred arrangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure	b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. X Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of Attorney				
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 15]	11. English Trans	slation Document (if applicable)			
5. Oath or Declaration [Total Sheets 1]	12. Information D Statement (II	Disclosure Copies of IDS Citations			
a. X Newly executed (original or copy)	13. Preliminary A				
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	16. (b)(2)(B)(i). / or its equivalent				
6. Application Data Sheet. See 37 CFR 1.76	17. Other:				

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Art Unit: For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 25962 x Customer Number OR Correspondence address below Name Slater & Matsil, L.L.P. 17950 Preston Rd. Address Suite 1000 City Dallas State Texas Zip Code 75252-5793 972-732-9218 **USA** Telephone 972-732-1001 Fax Country

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 b S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No. (Attorney/Agent)

Røger Ø. Knapp

Name (Print/type)

Signature



Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL Application Number Filing Date Herewith for FY 2003 First Named Inventor Liaw Examiner Name TBD Effective 01/01/2003. Patent fees are subject to annual revision. TBD Art Unit Applicant Claims small entity status. See 37 CFR 1.27 TSM03-0196 Attorney Docket No. TOTAL AMOUNT OF PAYMENT (\$) 1114.00

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)							
Check Credit card Money Other None					3. ADDITIONAL FEES							
X Deposit Account				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
Deposit Account 50-1065			1051	130	2051	65	Surcharge – late filing fee or oath					
Number Deposit Account Name					1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet			
						 )	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)  X Charge fee(s) indicated below X Credit any overpayments  X Charge any additional fee(s) during the pendency of this application  Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.  FEE CALCULATION  1. BASIC FILING FEE  Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Code (\$) Code (\$) Fee Description  1. 1001 750 2001 375 Utility filing fee 750.00  1. 1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee					1812	2,520	1812	2,520	For filing a request for ex parte reexaminat	tion		
X Chang	ge any	additiona	l fee(s) d	turing the pend	lency of this a	pplication	1804	920*	1804	920*	Requesting publication of SIR prior to	
					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
to the above	- Identi										Educate for each with 5-4 month	<del> </del>
					1251	110	2251	55	Extension for reply within first month			
1. BASI	C FI	JING F	EE				1252	410	2252	205	Extension for reply within second month	<u> </u>
				<b>-</b>			1253	930	2253	465	Extension for reply within third month	
Fee F	ee ( \$)	Fee Code		Fee Des	cription	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
-				Utility filing	rfee [		1255	1,970	2255	985	Extension for reply within fifth month	
1002	330	2002	165	•	` h		1401	320	2401	160	Notice of Appeal	
				•	· .		1402	320	2402	160	Filing a brief in support of an appeal	
	750	2004	375	Reissue fil			1403	280	2403	140	Request for oral hearing	
	160	2005	80		- r		1451	1,510	1451	1,510	Petition to institute a public use proceeding	a
1005 160 2005 80 Provisional filing fee				1452	110	2452	55	Petition to revive – unavoidable	•			
SUBTOTAL (1) (\$) 750.00				1453	1,300	2453	650	Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				1501	1,300	2501	650	Utility issue fee (or reissue)	<del> </del>			
Fee from						Fee Paid	1502	470	2502	235	Design issue fee	
Extra Claims below Fee Paid  Total Claims 38 -20**= 18 x 18.00 = 324.00					1503	630	2503	315	Plant issue fee			
Independe			<b></b> _	0 x	84.00 =		1460	130	1460	130	Petitions to the Commissioner	
Claims	L.		<u>L</u>	⊣ لـٰ			1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\ <del></del>
Mulliple Dependent				1				, , ,				
Large Ent	tity ee	Small Fee	Fee	- Ean Das	cription		1806	180	1806	180	Submission of Information Disclosure Stm	
	\$)	Code	(\$)	ree Des	CHPHOH		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1202	18	2202	9	Claims in	excess of 2	0	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201	84	2201	42	Independe	nt claims in	excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1203	280	2203	140	Multiple de	pendent da	im, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	
1204	84	2204	42		independe jinal patent		1802	900	1802	900	Request for expedited examination of a design application	
1205	18	2205	9		claims in e r original pa	xcess of 20 itent						
SUBTOTAL (2) (\$) 324.00			Other	Other fee (specify)								
or number previously paid, if greater, For Reissues, see above			*Redu	ced by Bas	sic Filing	Fee Paid	SUBTOTAL (3)	\$) 40.00				

SUBMITTED BY Complete (if applicable) Registration No. Telephone Name (Print/Type) Roger C, Knapp 46,836 972-732-1001 (Attorney/Agent) August 1, 2003 Signature

WARNING Inf rmation on this form play bec me public. Credit card information in should not be included on this form. Privide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Liaw Docket No.: TSM03-0196

Serial No.: TBD Art Unit: TBD

Filed: Herewith Examiner: TBD

For: Method And Apparatus for Providing An Integrated Active Region On

Silicon-On-Insulator Devices

## **Certificate of Mailing via Express Mail**

EXPRESS MAIL mailing label number: EV339467066US

Date of Deposit: August 1, 2003

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:

Certificate of Mailing via Express Mail (1 page)

Utility Patent Application Transmittal (1 page)

Fee Transmittal (1 original and 1 copy)

Complete Copy of Patent Application (36 pages), to include:

Specification (21 pages)

Drawing sheets (15 pages)

Declaration and Power of Attorney (1 page)

Assignment Recordation Cover Sheet (1 page)

Copy of Original Signed Assignment(s) (1 page)

Return Postcard

Respectfully submitted,

Kristin Mattern Legal Assistant

Legal As Slater & Matsil, L.L.P.

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